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| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d) | | | Attny. Docket No. 2002B107D |
| In Re Application of: Charlie Y. Lin, et al. | | | |
| U.S. Serial No. 10/782,228 | Filing Date 02/19/04 | Examiner Not Yet Assigned | Group Art Unit Not Yet Assigned |

Address to:
**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450**

37 CFR § 1.97(b)

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed when required.

☐ This application is a:

☐ Continuation / Divisional,

☒ Continuation-in-Part,

of U.S.S.N. 10/640,435 and 10/634,351. Copies of the cited references are ☒ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

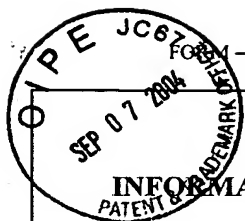
The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 05-1712. A duplicate copy of this form is enclosed.

9/1/04

Date



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FORM - 1449

SHEET 1 OF 3

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

2002B107D

SERIAL NO.

10/782,228

APPLICANT

Lin, et al.

FILING DATE

02/19/04

GROUP

Not Yet Assigned

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|--------------------|----------|-----------------------|-------|----------|----------------------------------|
| | AA | 3,201,364 | 08/17/65 | Salzer | | | |
| | AB | 3,318,835 | 05/09/67 | Hagemeyer, Jr. et al. | | | |
| | AC | 3,415,925 | 12/10/68 | Marans | | | |
| | AD | 3,439,088 | 04/15/69 | Edman | | | |
| | AE | 3,818,105 | 06/18/74 | Coopersmith et al. | | | |
| | AF | 4,073,782 | 02/14/78 | Kishi et al. | | | |
| | AG | 4,110,185 | 08/29/78 | Williams et al. | | | |
| | AH | 4,132,698 | 01/02/79 | Gessler et al. | | | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | PUBL. DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|----|--------------------|---------------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | AN | 37 35 502 | 05/03/98 | DE | | | | X |
| | AO | 0 300 689 | 01/25/89 | EP | | | | |
| | AP | 0 448 259 | 09/25/91 | EP | | | | |
| | AQ | 0 614 939 | 09/14/94 | EP | | | | |

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

| | | |
|--|----|--|
| | AR | Chemical Additives for Plastics Industry, 1987, pp. 99-116, Radian Corporation, NJ |
| | AS | Rubber Technology Handbook, 1989, Werner Hoffman, Hanser Publishers, New York, pp. 294-305 |

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant

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| | | | | 2002B107D | | 10/782,228 | |
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| 02/19/04 | | Not Yet Assigned | | | | | |

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| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| | AT | 4,536,537 | 08/20/85 | Klingensmith et al. | | | |
| | AU | 4,666,959 | 05/19/87 | Weissberger et al. | | | |
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| | | | | | | | YES | NO |
| | BE | 0 664 315 | 07/26/95 | EP | | | | |
| | BF | 0 801 104 | 10/15/97 | EP | | | | X |
| | BG | 1 028 145 | 08/16/00 | EP | | | | |
| | BH | 2094870 | 02/04/72 | FR | | | | X |
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| OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.) | |
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| | BL | 5,929,147 | 07/27/99 | Pierick et al. | | | | |
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| | BP | 01282280 | 11/14/89 | JP | | | | |
| | BQ | 69029554 | 10/01/96 | JP | | | | |
| | BR | 92/00354 | 01/09/02 | WO | | | | |
| | BS | 98/44041 | 10/08/98 | WO | | | | |
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| | BU | 02/17973 | 03/07/02 | WO | | | | |
| | BV | 02/31044 | 04/18/02 | WO | | | | |
| OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.) | | | | | | | | |
| | BW | Rudnick and Shubkin (Synthetic Lubricants and High-Performance Functional Fluids, Second edition, Rudnick, Shubkin, eds., Marcel Dekker, Inc. New York, 1999, pp. 1-52, 357-392 | | | | | | |
| | BX | Additives for Plastics, J. Stepek, H. Daoust, 1983, Springer Verlag, New York, pp. 6-69 | | | | | | |
| | BY | Abstract of DE 37 35 502, published on 05/03/98, entitled, "Crosslinkable compsns. resistant to damage in electron beam appts. - contain elastomeric and partly crystalline polymers, fillers, and antioxidant" | | | | | | |
| | BZ | Abstract of EP 0 801 104, published on 10/15/97, entitled, "Use of atactic polypropylene to improve stability against ionising radiation" | | | | | | |
| | CA | | | | | | | |
| EXAMINER | | | | | DATE CONSIDERED | | | |
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